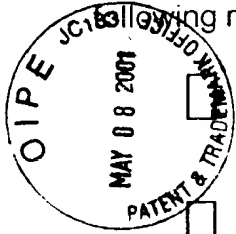


**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):



- ☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☒ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: \_\_\_\_\_

**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

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**PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE**



1635  
8-2-84

PATENT

Attorney Docket No.: JHU1400-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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MAY 10 2001

Applicant: Harry C. Dietz  
Application No.: 09/163,289  
Filed: September 29, 1998  
Title: DELIVERY CONSTRUCT FOR ANTISENSE NUCLEIC ACIDS AND METHODS OF USE

Art Unit: 1635  
Examiner: M. Schmidt

TECH CENTER 1600/2900

**BOX SEQUENCE**

Commissioner of Patents  
Washington, D.C. 20231

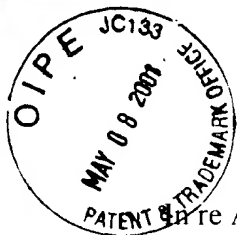
**TRANSMITTAL OF SEQUENCE LISTING**

Sir:

Pursuant to the request in the Office Communication mailed April 4, 2001, for a copy of the sequence listing, the following are provided:

1. A Statement Under 37 C.F.R. §§ 1.821(f) and (g); and 37 C.F.R. § 1.825 (b);
2. Verified Statement Under 37 C.F.R. § 1.821 (f);
3. Paper copy of the Corrected Sequence Listing.

| CERTIFICATION UNDER 37 CFR §1.8  |                              |
|--|------------------------------|
| I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date. <b>May 3, 2001</b> , in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231. |                              |
| <b>Cecilia Tobin</b><br>(Name of Person Mailing Paper)   |                              |
| <i>Cecilia Tobin</i><br>(Signature)  | <b>May 3, 2001</b><br>(Date) |



Patent Application of:  
Harry C. Dietz  
Application No.: 09/163,289  
Filed: September 29, 1998  
Page 2


PATENT  
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4. A copy of the Notice To Comply With Requirements for Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures;
5. Computer readable Form (CRF) of Sequence Listing (1 diskette); and
6. Return Receipt Postcard.

No fee is deemed necessary in connection with the filing of this paper. However, if any fee is required, the Commissioner is hereby authorized to charge the amount of this fee, or credit any overpayments, to Deposit Account No. 50-1355. A copy of this Transmittal Letter is enclosed.

Respectfully submitted,

Date: May 3, 2001

  
\_\_\_\_\_  
Lisa A. Haile, J.D., Ph.D.  
Registration No.: 38,347  
Telephone: (858) 677-1456  
Facsimile: (858) 677-1465

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